ATS Wealth Management Client Annual Suitability Profile Update

As fiduciaries, ATS Wealth Management (“ATS Wealth Management”, “us”, “we”, “our”) owes our clients a duty to provide only suitable investment advice. This duty requires us to determine that the investment advice we give to you is suitable, taking into consideration your financial situation, investment experience, and investment objectives. With that said, the suitability and financial information we have on file for you needs to be updated. To ensure we continue to abide by our fiduciary duty and only provide advice that is suitable for your needs, please provide the information below:

General Information

Click or tap here to enter text. Primary Client Name	Click or tap here to enter text. Secondary Client Name
Click or tap here to enter text. Primary Client Employer and Occupation	Click or tap here to enter text. Secondary Client Employer and Occupation
$Click or tap here to enter text. Primary Client Annual Income	$Click or tap here to enter text. Secondary Client Annual Income
$Click or tap here to enter text. Household Net Worth, Exclusive of Primary Residence	$Click or tap here to enter text. **Household Liquid Assets**

Financial Objective

As of the date of competing this form, which one of the following best describes your attitude toward your investments over your investment time horizon?

☐	My/our investment objective is **capital preservation**. *I/we am/are averse to short-term loss and can accept only minimal fluctuations in my/our portfolio value.*
☐	My/our investment objective is **fixed income**. *I/we am/are averse to short-term loss and can accept only minimal fluctuations in my/our portfolio value.*
☐	My/our investment objective is **capital preservation** and my secondary goal is **capital growth**. I/we am/are willing to accept a low level of fluctuation in my portfolio value.
☐	My/our investment objective is **income** with **growth**. I/we am/are willing to accept a low level of fluctuation in my portfolio value.
☐	My/our investment objective is **capital growth** and my secondary goal is **capital preservation**. I/we am/are willing to accept a moderate level of fluctuation in my/our portfolio value.
☐	My/our investment objective is **capital appreciation** with **income**. I/we am/are willing to accept a moderate level of fluctuation in my portfolio value.

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☐	My/our goal is **capital growth**. I/we am/are modestly concerned with the level of fluctuation in my/our portfolio.
☐	My/our only goal is **aggressive capital growth**. I/we am/are not concerned with the level of fluctuation in my/our portfolio value.

Investment Time Horizon

As of the date of competing this form, I/we will begin to rely on our investment portfolio for all or a substantial part of our income in:

☐ 1 to 3 years

☐ 4 to 7 years

☐ 8 to 10 years

☐ more than 10 years

Income Needs

As of the date of competing this form, how much of this portfolio is required as current income?

☐ No need for current income

☐ Less than 2% per year

☐ 2% to 4% per year

☐ Greater than 4% (please indicate approximate: % Click or tap here to enter text.

By:

Client Signature	Client Signature
Client Name	Client Name
Email Address	Email Address
Date	Date